

		SUPPLIER IN	NFORM/	ATION				
FORM INS	TRUC	TIONS		ATTACHMENTS (Required if checked)				
Instructions: Supplier shall con attachments checked in the section When applying to become a truster Southern Company compliant http://www.southerncompany.com/a which are a summary of some of contained in our contracts. In add Southern Company, ethical beha our business. Learn more about via http://coe.southernco.com/). Please note that providing the follow that the product(s) and/or service a subsidiary of Southern Company.	d supp ce p about-the go dition, avior a our wing in (s) yo	 A – Contractor Information B – Supplier Site Information C – Supplier Certification Form D – Supplier Product/Service Information E – W-9 Information F – W-8 Information (International Suppliers) G – I-9 Information H – Contractor Compliance Background Form I – Contractor Statistical Data Form J – Contractor Safety Questionnaire K – Supplier Sales and Use Tax Information L – Hire Mississippi Supplier Certification M – Electronic Funds Transfer (EFT) Form N – Other 						
		COMPANY II						
Company Name			Compai	ny Web Site				
Tax Reporting Name (if different)			Compar	Company Phone Company Fax				
				Sole Proprietorship Partnership				
CEO/Owner Name			CEO/O	wner Title				
Company Headquarters Address	(Dom	estic)	Compa	ny Headquarters Address (International)				
				ng an international address, fill in domestic address ith " N/A" and select "—" for the state.				
City	State	Zip Code						
INFORMATION PURPOSES ONL								
When invoicing, Invoicing Name (if	differe	nt)						
		COMPAN		т				
Name		Fax	Phone	E-mail Address				
		SUBMISSION	STATEM	ENT				
The undersigned individual affirms that the above information, and all attached forms, is accurate, true, and complete. Also, the individual understands and acknowledges that the information provided is subject to verification. The individual further understands that proper completion of this form, and all attachments, is a condition of participation as a potential supplier for one of the subsidiaries of Southern Company.								
Name	Title		gnature	Date				
S	OUTH	ERN COMPANY I	NTERNAL					
Requestor Name		Requestor Comme						
Requestor Phone								

Updated March 21, 2017



ATTACHMENT A - CONTRACTOR INFORMATION FORM								
FORM INSTRUCTI	ONS SU	PPLIER I	NAME:					
Instructions: Supplier shall of	complete this form i	n its entir	ety, and provided a	additional a	attachments a	s necessary.		
	GEN	ERAL IN	FORMATION					
1. Are you presently or have	you done business v	with Southe	ern Company or affi	liate?	Yes	No		
If yes, please check appli	If yes, please check applicable affiliate and list name of primary contact.							
Alabama Power Contact:	Alabama Power Company Contact:					y Services		
Mississippi Power C Contact:	Company Source S		iclear Company	Contact	thern Power C	ompany		
2. <u>Business References</u> : Lis requested by Southern Co					rices similar to	those		
- Reference #1 - Custom	er Name:							
Contact Title	Contact Name		Contact Phone	Contact E	Email			
Contract Description, A	mount and Completic	on Date		1				
- Reference #2 - Custom	er Name:							
Contact Title	Contact Name		Contact Phone	Contact Email				
Contract Description, A	mount and Completic	on Date						
- Reference #3 - Custom	ner Name:							
Contact Title	Contact Name							
Contract Description, A	mount and Completic	on Date						
3. What is your company's D	Oun & Bradstreet (D&	B) Listing	Number?					
4. Has your company ever fi		Yes	No If yes, w					
5. <u>Licenses</u> : List the profess								
the work you seek to perform Professional (List State)			rtificate Number		piration Date	onal licenses.		
				Ľ,				
6. Insurance Certifications: (Indicate limit amou	nts and at	tach sample certifi	icate)				
General Liability \$		🗌 En	nployer's Liability \$		Vehicle	e \$		
Umbrella/Excess Lia	bility \$	Ot	her (Specify type):	\$				



GENERAL INFORMATION (Continued)							
 For the services your company provid combination, provide a percentage br 			o perfo	orm	the work	lf	it is a
□ Organized Labor Shops - % □ Open Shops - %							
8. <u>Trade Organizations</u> : List the trade organizations with which you have current contracts or working agreements that are applicable to the work you seek to perform for Southern Company. If necessary, provide an attachment listing additional trade organizations.							
Organization Name		Local or Lodge Number					
9. Special Quality Programs and Certific	ations: Provide a res	ponse to each of the qualit	v relate	ed c	uestions	liste	ed below
If your company has other quality initi					100010110	not	Su bolow.
 Is quality control/assurance a separ 	ate and distinct part	of your organization?			Yes		No
 Is there a documented quality syste)			Yes		No
- Is there a program for continual qua					Yes		No
- Is your company ISO 9000 certified		*******			Yes		No No
- Does your company have a 10CFR	50 Appendix B QA p	rogram?			Yes		No
- Other:							
10. Business Volume (Consolidated): Pro	vide the following int	formation on your company					
- What year was your company estab		- Average annual income		the	e last 5 ve	ars	
What your was your company colar		duning		s laor o ye	are		
- Estimated annual income this year:		- Largest single sale/project (past 5 years):					
11. Employee Information: Provide the fo							
 Total number of Full-time Employee 	es:	- Average peak manpower during last 5 years:					
12. Company Structure: Provide the infor	mation below about	 any affiliate or subsidiary of	Vour o	om	nany Ifr		ee arv
provide an attachment listing addition			your c	UII	pany. In	IEC	555al y,
- Company Name and Phone			Relati	ons	ship		
					•		
 Company Name and Phone 	Complete Address		Relati	ons	ship		
- Company Name and Phone	Complete Address			ons	ship		
			rtorati	0110	, np		
- Company Name and Phone	Complete Address		Relati	ons	ship		



	C	GENER		MA	TION (Con	tinue	d)		
13. <u>Secretary of State Status</u> : Answer the questions below concerning whether your company is in Good Standing with the identified Secretary of State offices. (i.e The good standing status signifies an entity is current with the filing requirements of the Secretary of State's office, as well as being current with the entity's corporate franchise taxes. Generally sole proprietorships are not required to register with the state. In most cases a sole proprietorship is required to register with the State only if it chooses to incorporate, establish a limited liability company or limited partnership).									
 What is your State of In 	corporatio	on?							
 Is your company in Goo State's office? 	od Standir	ng with t	he Alabama	Sec	cretary of		🗌 Yes	🗌 No	☐ Not Applicable
 Is your company in Goo State's office? 	od Standir	ng with t	he Georgia S	Secr	etary of		Yes	🗌 No	☐ Not Applicable
 Is your company in Goo State's office? 	od Standir	ng with t	he Mississip	pi S	ecretary of		🗌 Yes	🗌 No	Not Applicable
- For States listed as N/A	, please e	explain:							
 Is your company in Goc company's state of inco territory as a foreign ent 	rporation						🗌 Yes	🗌 No	,
	(ONTR	ACT CONT	AC		ΙΑΤΙΟ	N		
1. <u>Contact for Contract Notices</u> : Identify the individual that would be responsible for receiving and processing contract notices on behalf of your company.									
- Contact Name		Contac	ct Title			Conta	ct Phone		Contact Fax
Address				Со	ontact Email				
City			State	Zip Code Country					
2. Person to Sign Contract:	dentify be	elow the	individual th			te a co	ntract on	behalf of	f your company.
- Name				Tit	le				
3. Services Contact Information				nda	ary and tertia	ary (as	applicabl	e) contac	cts for authorizing
services under a contract - Primary Contact Name	on behal	f of your Title	company.			Office	Phone		Office Fax
- Fillinary Contact Name		THE				Onice	FIIONE		
Cell Phone	Other C	ontact N	lumber	С	ontact Ema	il			
- Secondary Contact Nar (if applicable)		Title				Office Phone			Office Fax
Cell Phone	Other C	ontact N	lumber	C	ontact Ema	il			
- Tertiary Contact Name (if applicable)		Title				Office	Phone		Office Fax
Cell Phone	Other C	ontact N	lumber	C	ontact Ema	il			

Updated May 3, 2017



	ATTACHMENT B - SUPPLIER SITE INFORMATION FORM									
	FORM INSTRUCTION		SUPPLI							
			SITE FC			of)			
as a may sho num	Instructions: Supplier shall provide Site information for one or more Supplier Sites as appropriate. A Site is defined as an office address that a supplier identifies for receiving purchase orders and/or receiving payments. Some suppliers may have multiple "Sites", and, if this is the case, a separate form should be filled out for each separate Site. The Supplier should use this form to specify the physical location, and contact information for each Site. Supplier should indicate the number of Sites and associated Site forms in the above section above on the right side of the form. Each of the sections listed below must be completed for each Site. (Note: * - designates a required field): - A. Site Type - B. Applicable Company/Companies (i.e. Southern Company affiliate to which Supplier's Site is applicable). - C. Site Address Information - D. Site Contact Information									
			SI	ΤΕ ΤΥ	ΈΕ					
A.	 A. Select Site Type* (Check one) Procurement Only – This Site is a location to which only Purchase Orders (PO) should be issued. Procurement and Remittance – This Site is a location to which BOTH PO's and remittance should be issued. Remittance Only – This Site is a location to which only remittance should be issued. 									
	Note: For Remittance Site								Electronic [Check
	If the "electronic" payment appropriate time, to obtain									
	APPLICABLE COMPANY/COMPANIES									
В.	B. Select Applicable Company or Companies* (Check all that apply)									
	Alabama Power Company Georgia Power Company Southern Company Services Mississippi Power Company Southern Nuclear Company Southern Power Company									
	Note: When selecting a company, or multiple companies, above the Supplier should ensure that the physical location for the procurement and/or remittance type site being specified is applicable for each company checked. If there are different locations for PO's and/or remittance to be issued to based on which company is selected, then separate forms must be completed and submitted by the supplier for each location (Site).									
		APPLIC	ABLE C	OMPA	NY/	СОМРА	NIES			
C.	Provide Site Address Infor Address	mation *								
	Additional Address									
	City		State		Zip	Code		Cοι	intry	
			СОМРА	NY C	ONT	АСТ	1			
D.	Site Contact Information Contact Name	Title	Contact Phone Contact Phone Contact E-mail Address				Address			
					_			_		Τ
			SUB	MITTE	D B	Y				
The	The undersigned individual affirms that the above information, and all attached forms, is accurate, true, and complete.									
Nan	ne	Title		Signa	ture					Date

Southern	Company
Southern	Company

Attachment C

					Internal Use	Only
	<u>pplier</u> <u>f-Certification</u>	Company Name (inclu	de DBA where a	ppropriate)	Supplier # (SoCo ID)
For		Federal Tax ID	Primary N	AICS Code	No. of Employe	es
	Irn completed form to:	CEO/Owner Name		Title		
<u>Email</u> FAX:		Headquarters Street A	ddress	City	State	Zip
<u>Mail</u> :		Mailing Address		City	State	Zip
		Telephone Number	Facsi	nile Number	Alternate Numbe	r
		E-mail Address	1	Website		
therefo from its supplie Compa	ha Power Company, Georgia Power Company, an ore must comply with various Federal laws and r s subcontractors and suppliers regarding their s er diversity records. If there are any changes to my in writing no later than fifteen (15) days of	egulations. These laws and ize status. Please complet o your size or status unde of the change.	regulations requ e the following se r any of the follo	nire that Southern Com ections which Southern owing sections you an	npany obtain written repr n Company must maintain r e required to inform So	esentation n as part of its
	check ALL boxes below applicable to your but ther clarification on small business eligibility, se					
	Large Business (Other than a Small Busines 13 CFR Part 121. □Woman-Owned □Vet	-				
	A Small Business Concern - A for profit bus employees for manufacturing and under \$7.5 on the NAICS Tables at <u>http://www.sba.gov/c</u>	Million in average receipt	s for most non-n			5
	Minority-Owned (MBE) - A for profit busine management of the business: African American Native American Other (please specify)			-	hnic minorities who con	trol the daily
	A Small Disadvantaged Business Concern (disadvantaged individual(s) whose net worth forth in the Code of Federal Regulations (CFR)	does not exceed \$750,000	exclusive of app			
	A Woman-Owned Small Business Concern (daily management of the business.	WOSB) – A small for profit	business that is	at least 51% owned by	v one or more women wh	o control the
	A Veteran-Owned Small Business Concern as defined in 38 U.S.C. 101(2) who control the					ore veterans
	A Service Disabled Veteran-Owned Small E or more service-disabled veterans (defined in permanent and severe disability the spouse equivalent).	38 U.S.C. 101(16)) who co	ontrol the daily n	nanagement of the bus	siness or in the case of a	veteran with a
	A HUBZone Small Business Concern (SBA certification).	Certified) - A small busine	ess currently cer	tified by SBA as a HU	BZone small business (a	ttach copy of
<u>Counci</u>	Il Certifications: (attach copy of certification(s) Certified by a National Minority Supplier Deve Certified by a Women's Business Enterprise Na Certified by an organization other than NMSD	lopment Council (NMSDC) ational Council (WBENC) A		1		
	15 U.S.C. 645(d), any person who misrep istrative remedies; and (3) be ineligible for) be subject
behalf; subject	dersigned individual affirms that he/she is the and the above information is complete, accura t to verification and further that the full and p there. Company and its subsidiaries upon w	te, and true. In addition, th proper completion of this	e undersigned u questionnaire is	nderstands that the in a condition of partici	formation provided in th pation as a small and	is certification diverse suppli

subject to verification and further that the full and proper completion of this questionnaire is a condition of participation as a small and diverse supplier for Southern Company and its subsidiaries upon which Southern Company will rely in consideration of your business as a possible supplier of goods or services. The undersigned certifies to the best of its knowledge and belief, that it and/or any of its principals: (A) are not at present debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any federal agency; nor (B) have within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) contract or subcontract; or violation of federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.



Supplier Products and Services

Company Name _____

Southern Company maintains a Supplier Diversity database where project leaders can research your company's offerings. Please list below the top 3 products or services that set your company apart from others in your field along with the NAICs (North American Industry Classification System) code. Supplying the NAICs code for your products or services helps to properly classify them for future reference. Feel free to add any further detail concerning unique skills or niche industry expertise.

Primary products and/or services

NAICS Code	Type of Products/Services
1)	
2)	
3)	
Other products an	d/or services Type of Products/Services
INAICO COULE	Type of Floddels/Gervices

Please mark all services provided by your company -Attachment D.1

Services Classifications			
		□ INSTRUMENTATION & CTRL SYSTEMS	
AERIAL SERVICES		INSULATION REMOVAL & INSTALLATION	
		INTELLECTUAL PROPERTY	□ REMEDIATION SERVICES
			RENEWABLE ENERGY SVCS/CONSULTING
APPRAISER SERVICES	ELECTRICAL CONTRACTOR	□ JANITORIAL SERVICES	□ RENTALS – CRANES, HEAVY EQUIP
AQUATIC WEED CONTROL	ELEVATORS MAINTENANCE	🗆 LAB	
		□ LAB COAT & FIRE RETARDANT CLEANING	□ RIGHT-OF-WAY CLEARING
	ENERGY EFFICIENCY SERVICES	LAND AGENT	ROOFING – FACILITIES
□ ARMORED CAR SERVICES	ENGINEERING SERVICES	LAND EXCAVATION	□ SAND OR GRIT BLASTING
	ENVIRONMENTAL SERVICES	□ LAND SURVEYOR	
REMOVAL	EQUIPMENT RENTAL	□ LANDSCAPING & LAWN SERVICES	SCALES SERVICES
ASPHALT PAVING SERVICES			
	EROSION CONTROL/EXCAVATION		□ SCORE CARDING – IT METRICS
	EXERCISE EQUIPMENT REPAIR		SCRAP METAL REMOVAL
	EXPANSION JOINT/REPAIR	□ LIGHTING SERVICES	□ SECURITY SERVICES
□ BARGE CONTRACTOR	EXPLOSIVE DESLAGGING	□ LINE CONSTRUCTION	□ SHIPPING/TRANSPORTATION
BATTERY SERVICES			
BEARINGS & DRIVES SERVICES	□ FAN SERVICES		□ SOFTWARE
BOILER SERVICES	□ FENCING SERVICES		
		MANLIFT SERVICES	
BUILDING MAINTENANCE	FIELD SERVICES		STORM RESTORATION
BULK GASES		□ MARINE CONSTRUCTION	STORM SERVICES
	□ FIRE PROTECTION		STRESS RELIEVING
CAR RENTAL		□ MARKING, SIGNS, LABELING	CONSTRUCTION/MAINT
	FLEET SERVICES GENERAL		
□ CARPET & FLOORING SERVICES	FLEET SERVICES MAINTENANCE	MATERIALS HANDLING	SWITCH AND MOD REPAIR
		MECHANICAL CONTRACTOR	
CATHODIC PROTECTION SERVICES	FLY ASH DISPOSAL		
		METAL BLDGS – CONSTRUCTION	
COLLECTION HOODS COMMUNICATION EQUIP. SVCS	GEOTECHNICAL GLASS INSTALLATION/REPAIR	MOVING & STORAGE NON-DESTRUCTIVE TESTING	TOWING TRAFFIC CONTROL
TOWER SVCS			
COMPUTERS/ELECTRONICS	□ HARD SURFACING		
		OVERHEAD DOORS	TRANSFORMER REPAIR/REMANUFACTURE
CONDENSERS SERVICES	HEARING	PADS	□ TRANSMISSION OVERHEAD LINES
	HEAT EXCHANGERS SERVICES		TRASH REMOVAL
	HEAT TRACING HEAVY EQUIP – W/WOUT	PAINTING – INDUSTRIAL PEST CONTROL	
	OPERATORS		
	RENTAL		
			ULTRASONIC TESTING
			UNDERGROUND EQUIPMENT SVCS
CRANES, HOISTS & CABLE SVCS			
DEMOLITION DEWATERING/WATER WELLS	HYDROEXCAVATION HYDROGRAPHIC SURVEY	PRECIPITATORS SERVICES PRE-EMPLOYMENT DRUG OPPEFNING	WASTE REMOVAL WASTE WATER SERVICE
DISTRIBUTION OVERHEAD DISTRIBUTION UNDERGROUND	INDUSTRIAL PAINTING/COATING INFORMATION TECHNOLOGY	PUMP SERVICES RAILCAR SERVICES	WINDOW CLEANING/REPAIR SERVICES OTHER
DIST. UNDRGRND FACILITIES	SERVICES	RAILROAD CONSTRUCTION	
LOCATING/MARKING SVS			

Please mark all products provided by your company

Products - Materials Classifications

Products - Materials Classifications	
□ ANCHORS & RODS	
ANIMAL MITIGATION PROTECTION	PROMOTIONAL ITEMS
AUTOMOTIVE – MATERIALS	RELAYS AND PANELS
	RENTAL EQUIPMENT
BADGING SUPPLIERS	SAFETY PRODUCTS
BATTERIES AND BATTERY PRODUCTS	SECURITY CAMERAS AND EQUIPMENT
BUILDING AND FACILITIES EQUIPMENT	
BULK GASES CABINETS AND ENCLOSURES	TELECOMMUNICATIONS EQUIPMENT TOOLS – POWER AND HAND
	□ TOVERS – TRANSMISSION
□ CARPET & FLOORING PRODUCTS	□ TRANSFORMERS – DISTRIBUTION
□ CHARTS, INSTRUMENTS, RECORDERS	□ TRANSFORMERS – SUBSTATION
CLOTHING – FR & UNIFORMS	U WELDING HARDGOODS
COMMUNICATION TELECOM TOWER PROD	U WIRE – CABLE
CONSTR. MATERIALS - CONCRETE, GRAVEL, DIRT, ETC	
CRANES, HOISTS & CABLES PRODUCTS	□ WIRE – FIBER OPTIC
	□ WIRE – GUY
	PRODUCTS OTHER:
DISTRIBUTION AUTOMATION	PRODUCTS OTHER:
ELECTRICAL AND GENERAL SUPPLIES	PRODUCTS OTHER:
	_
	-
	-
	-
FIBERGLASS LINE HARDWARE PRODUCTS FILTRATION	-
□ FIRST AID AND SAFETY	-
GAS POWERED EQUIP. – CHAIN SAW, GENERATORS, ETC	-
	-
	1
INFORMATION TECHNOLOGY – HARDWARE	
INFORMATION TECHNOLOGY – SOFTWARE	
JANITORIAL PRODUCTS	
	4
	4
	4
	4
	4
MARKING, LABELING, SIGNS METAL FABRICATION	4
	4
METER TRANSFORMERS	4
	1
	1
□ OFFICE MACHINE PRODUCTS	1
□ OFFICE SUPPLIES	1
PACKING / GASKETS]
PADS – CONCRETE, COMPOSITE	
PIPE VALVES AND FITTINGS	
	1
	4
	4

Please mark all products provided by your company

Power Gener	ation Material Classifi	cations (System / Su	b-Systems)
A – ASH HANDLING	F – TURBINE	K – STATION SERVICE	R – FIRE PROTECTION
A – ASH SLUICE	A – HIGH PRESSURE	□ A – START STATION SERVICE	□ A – WATER
B – BOTTOM ASH	B – INTERMEDIATE PRESSURE	□ B – STAND-BY STATION SERVICE	B – HALON
C – FLY ASH	C – LOW PRESSURE	C – RUNNING STATION SERVICE	C – CARBON DIOXIDE
D – NPDES TREATMENT	D – TURBINE HYDRAULIC CONTROLS	D – BUSSES	D – DRY CHEMICALS
E – ASH DISPOSAL	E – TURBINE STEAM SEAL		Z – INSTRUMENT & CONTROL
		□ F – EMERGENCY POWER	S – AIR
	G – FRONT STANDARD		
Z – INSTRUMENT & CONTROL		Z – INSTRUMENT & CONTROL	
B – AIR & GAS	□ J – TURBINE STEAM VALVES	L – CONDENSATE	Z – INSTRUMENT & CONTROL
A – FORCED DRAFT	□ K – EXTRACTIONS	A – CONDENSER / HOTWELL	T – RESERVOIRS & DAMS
B – INDUCED DRAFT	L – HYDRO TURBINE	□ B – CONDENSATE PUMPS	□ A – RESERVOIRS & DAMS (HYDRO)
C – AIR PREHEATER		C – LOW PRESSURE CONDENSATE HEATER	V – COMBUSTION TURBINE
D – GAS RECIRCULATION	N – HYDRO BEARINGS	D – POLISHER UNIT	A – AIR & GAS SYSTEM
E – SUPPORT FANS	P – WATER FLOW CONTROL	E – CONDENSATE STORAGE	B – LUBE OIL SYSTEM
□ F – STACKS	Q – AERATION & VACUUM BREAKERS	F – DEAERATOR	C – HYDRAULIC & TRIP OIL SYSTEM
		\Box G – GLAND STEAM EXHAUSTER	\Box D – STARTING SYSTEM
	G – SWITCHYARD & SUBSTATION		
Z – INSTRUMENT & CONTROL	TRANSFORMER	□ J – EXTERNAL PIPING AND VALVES	F – FUEL SYSTEM
C – FUEL BURNING	B – SWITCHYARD MAIN LINE	Z – INSTRUMENT & CONTROL	G – GAS TURBINE
A – FUEL OIL BURNING	C – SUBSTATION B&G	M – FEEDWATER	□ H – HVAC SYSTEM
B – COAL BURNING	D – SWITCHYARD AUTO-BANK	A – BOILER FEED PUMP	J – WATER WASH SYSTEM
C – GAS BURNING	E – SWITCHYARD STATION SERVICE		
			Z – INSTRUMENT & CONTROL
	Z – INSTRUMENT & CONTROL	D – EXTERNAL PIPING AND VALVES	W – HRSG
F – ALTERNATE FUEL	H – GENERAL SERVICE WATER	Z – INSTRUMENT & CONTROL	
Z – INSTRUMENT & CONTROL	A – SUMP	N – WATER TREATMENT PLANT	□ B – BOILER VALVES & PIPING
D – FUEL SUPPLY	B – WATER INTAKE	A – WATER TREATMENT PRE-TREATMENT	C – BOILER TUBING
A – DUST SUPPRESSION			D – BOILER STRUCTURE & LAGGIN
			\Box E – BOILER WATER CIRCULATION
B – FUEL OIL SUPPLY	D – CONDENSER CIRCULATING WATER	C – POTABLE WATER	PUMP
C – COAL SUPPLY	E – SERVICE WATER	D – WATER TREATMENT EQUIPMENT	
D – GAS SUPPLY	□ F – HOLDING POND STORAGE		G – HEADERS/LINKS DRUMS/VESSELS
E – BLENDING	G – WELLS	□ F – WASTE WATER	□ H – DUCT BURNER
	□ H – CONDENSER CLEANING	Z – INSTRUMENT & CONTROL	□ J – BLOWDOWN
	□ J – EXTERNAL PIPING AND VALVES	P – SERVICE FACILITIES	□ Z – INSTRUMENT & CONTROL
		A – BUILDINGS AND GROUNDS	X – SCRUBBER SYSTEM
□ J – RAILROADS / WATERWAYS		\square B – ROADS AND GROUNDS	
	J – BOILER & ATTACHMENTS		
L – ROLLING STOCK		D – PLANT ROLLING STOCK	C – SCRUBBER VESSEL
Z – INSTRUMENT & CONTROL	B – NITROGEN	🗆 E – TOOLS	D – GYPSUM HANDLING
E – GENERATOR	C – BOILER VALVES AND PIPING	□ F – CONSUMABLES	E – RETURN WATER
A – GENERATOR	D – BOILER TUBING	G – MISC. HYDRO	F – MAKE-UP WATER
B – EXCITER	E – BOILER STRUCTURE AND LAGGING	□ H – VILLAGE	□ H – BYPASS DAMPERS
C – HYDROGEN SEAL OIL	□ F – BOILER CIRCULATING WATER	Q – START-UP / PROCESS STEAM	Z – INSTRUMENT & CONTROL
D – MAIN GENERATOR BUS	PUMP	A – PROCESS STEAM	Y - SCR (SELECTIVE CATALYTIC
			REDUCTION)
E – STATOR COIL COOLING WATER	DRUM/VESSELS	B – AUXILIARY STEAM	STORAGE AREA
🗆 F – GAS	□ J – PROCESS STEAM	C – PACKAGE BOILER	B – AMMONIA FORWARDING SYSTEM
Z – INSTRUMENT & CONTROL	□ Z – INSTRUMENT & CONTROL	Z – INSTRUMENT & CONTROL	C – AMMONIA VAPORIZATION SKI
	_		E – REACTOR BOXES
OTHER:			□ F – AUXILIARY SYSTEMS
OTHER:			Z – INSTRUMENT & CONTROL
OTHER:			Z – COMPUTER EQUIPMENT
			\Box A – PLANT DATA ACQUISITION
			C – MATERIALS MANAGEMENT
			D – WORK MANAGEMENT (CMMS)

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.

	2 Business name/disregarded entity name, if different from above	
ו page 3.	3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
e. ns on	Individual/sole proprietor or C Corporation S Corporation Partnership Trust/estate single-member LLC	Exempt payee code (if any)
rint or type. Instructions	☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ►	
tr or	Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is	Exemption from FATCA reporting
Ins	another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that	code (if any)
р Specific	is disregarded from the owner should check the appropriate box for the tax classification of its owner.	
ec	Other (see instructions) ►	(Applies to accounts maintained outside the U.S.)
	5 Address (number, street, and apt. or suite no.) See instructions. Requester's name a	nd address (optional)
See		
	6 City, state, and ZIP code	
	7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a</i>	Social security number
<i>TIN,</i> later.	or
Note: If the account is in more than one name, see the instructions for line 1. Also see What Name and	Employer identification number
Number To Give the Requester for guidelines on whose number to enter.	
Part II Certification	

Under penalties of perjury, I certify that:

- 1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- 3. I am a U.S. citizen or other U.S. person (defined below); and
- 4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign	Signature of	
Here	U.S. person ►	

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to *www.irs.gov/FormW9*.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)

Date 🕨

- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later. By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),

2. Certify that you are not subject to backup withholding, or

3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and

4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting*, later, for further information.

Note: If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

• An individual who is a U.S. citizen or U.S. resident alien;

• A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;

An estate (other than a foreign estate); or

• A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

In the cases below, the following person must give Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States.

 In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the entity;

• In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the trust; and

• In the case of a U.S. trust (other than a grantor trust), the U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person, do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Pub. 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items.

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.

2. The treaty article addressing the income.

3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.

4. The type and amount of income that qualifies for the exemption from tax.

5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

Backup Withholding

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 28% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester,

2. You do not certify your TIN when required (see the instructions for Part II for details),

3. The IRS tells the requester that you furnished an incorrect TIN,

4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or

5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code*, later, and the separate Instructions for the Requester of Form W-9 for more information.

Also see Special rules for partnerships, earlier.

What is FATCA Reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code*, later, and the Instructions for the Requester of Form W-9 for more information.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account; for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Line 1

You must enter one of the following on this line; **do not** leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account (other than an account maintained by a foreign financial institution (FFI)), list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9. If you are providing Form W-9 to an FFI to document a joint account, each holder of the account that is a U.S. person must provide a Form W-9.

a. **Individual.** Generally, enter the name shown on your tax return. If you have changed your last name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

Note: ITIN applicant: Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the same as the name you entered on the Form 1040/1040A/1040EZ you filed with your application.

b. **Sole proprietor or single-member LLC.** Enter your individual name as shown on your 1040/1040A/1040EZ on line 1. You may enter your business, trade, or "doing business as" (DBA) name on line 2.

c. Partnership, LLC that is not a single-member LLC, C corporation, or S corporation. Enter the entity's name as shown on the entity's tax return on line 1 and any business, trade, or DBA name on line 2.

d. **Other entities.** Enter your name as shown on required U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on line 2.

e. **Disregarded entity.** For U.S. federal tax purposes, an entity that is disregarded as an entity separate from its owner is treated as a "disregarded entity." See Regulations section 301.7701-2(c)(2)(iii). Enter the owner's name on line 1. The name of the entity entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on line 2, "Business name/disregarded entity name." If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

Line 2

If you have a business name, trade name, DBA name, or disregarded entity name, you may enter it on line 2.

Line 3

Check the appropriate box on line 3 for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box on line 3.

IF the entity/person on line 1 is a(n)	THEN check the box for
Corporation	Corporation
 Individual Sole proprietorship, or Single-member limited liability company (LLC) owned by an individual and disregarded for U.S. federal tax purposes. 	Individual/sole proprietor or single- member LLC
 LLC treated as a partnership for U.S. federal tax purposes, LLC that has filed Form 8832 or 2553 to be taxed as a corporation, or LLC that is disregarded as an entity separate from its owner but the owner is another LLC that is not disregarded for U.S. federal tax purposes. 	Limited liability company and enter the appropriate tax classification. (P= Partnership; C= C corporation; or S= S corporation)
Partnership	Partnership
Trust/estate	Trust/estate

Line 4, Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space on line 4 any code(s) that may apply to you.

Exempt payee code.

• Generally, individuals (including sole proprietors) are not exempt from backup withholding.

• Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.

• Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.

• Corporations are not exempt from backup withholding with respect to attorneys' fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding. Enter the appropriate code in the space in line 4.

1 - An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2)

2-The United States or any of its agencies or instrumentalities

3-A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities

4-A foreign government or any of its political subdivisions, agencies, or instrumentalities

5-A corporation

6-A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or possession

 $7{-}{\rm A}$ futures commission merchant registered with the Commodity Futures Trading Commission

8-A real estate investment trust

9—An entity registered at all times during the tax year under the Investment Company Act of 1940

10-A common trust fund operated by a bank under section 584(a)

11-A financial institution

 $12-A \ \text{middleman}$ known in the investment community as a nominee or custodian

13—A trust exempt from tax under section 664 or described in section 4947

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for	THEN the payment is exempt for
Interest and dividend payments	All exempt payees except for 7
Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 4
Payments over \$600 required to be reported and direct sales over \$5,000 ¹	Generally, exempt payees 1 through 5 ²
Payments made in settlement of payment card or third party network transactions	Exempt payees 1 through 4

¹ See Form 1099-MISC, Miscellaneous Income, and its instructions.

² However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

Exemption from FATCA reporting code. The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) written or printed on the line for a FATCA exemption code.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)

B-The United States or any of its agencies or instrumentalities

C-A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities

D-A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i)

E-A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i)

F-A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state

G-A real estate investment trust

H-A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940

I-A common trust fund as defined in section 584(a)

J—A bank as defined in section 581

K–A broker

L-A trust exempt from tax under section 664 or described in section 4947(a)(1)

M-A tax exempt trust under a section 403(b) plan or section 457(g) plan

Note: You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns. If this address differs from the one the requester already has on file, write NEW at the top. If a new address is provided, there is still a chance the old address will be used until the payor changes your address in their records.

Line 6

Enter your city, state, and ZIP code.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN.

If you are a single-member LLC that is disregarded as an entity separate from its owner, enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note: See *What Name and Number To Give the Requester,* later, for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at *www.SSA.gov.* You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at *www.irs.gov/Businesses* and clicking on Employer Identification Number (EIN) under Starting a Business. Go to *www.irs.gov/Forms* to view, download, or print Form W-7 and/or Form SS-4. Or, you can go to *www.irs.gov/OrderForms* to place an order and have Form W-7 and/or SS-4 mailed to you within 10 business days.

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note: Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, 4, or 5 below indicates otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see *Exempt payee code*, earlier.

Signature requirements. Complete the certification as indicated in items 1 through 5 below.

1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.

4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), ABLE accounts (under section 529A), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
 Two or more individuals (joint account) other than an account maintained by an FFI 	The actual owner of the account or, if combined funds, the first individual on the account ¹
3. Two or more U.S. persons (joint account maintained by an FFI)	Each holder of the account
4. Custodial account of a minor (Uniform Gift to Minors Act)	The minor ²
5. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee ¹
b. So-called trust account that is not a legal or valid trust under state law	The actual owner ¹
 Sole proprietorship or disregarded entity owned by an individual 	The owner ³
7. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulations section 1.671-4(b)(2)(i) (A))	The grantor*
For this type of account:	Give name and EIN of:
8. Disregarded entity not owned by an individual	The owner
9. A valid trust, estate, or pension trust	Legal entity ⁴
10. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
11. Association, club, religious, charitable, educational, or other tax- exempt organization	The organization
 Partnership or multi-member LLC A broker or registered nominee 	The partnership The broker or nominee

For this type of account:	Give name and EIN of:
14. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
 Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulations section 1.671-4(b)(2)(i)(B)) 	The trust

¹ List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

² Circle the minor's name and furnish the minor's SSN.

³ You must show your individual name and you may also enter your business or DBA name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

⁴ List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships*, earlier.

*Note: The grantor also must provide a Form W-9 to trustee of trust.

Note: If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records From Identity Theft

Identity theft occurs when someone uses your personal information such as your name, SSN, or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- · Ensure your employer is protecting your SSN, and

• Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Pub. 5027, Identity Theft Information for Taxpayers.

Victims of identity theft who are experiencing economic harm or a systemic problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

Protect yourself from suspicious emails or phishing schemes. Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft. The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to *phishing@irs.gov*. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at *spam@uce.gov* or report them at *www.ftc.gov/complaint*. You can contact the FTC at *www.ftc.gov/idtheft* or 877-IDTHEFT (877-438-4338). If you have been the victim of identity theft, see *www.IdentityTheft.gov* and Pub. 5027.

Visit *www.irs.gov/IdentityTheft* to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.

MISSISSIPPI POWER COMPANY CONTRACTOR VERIFICATION OF I-9 / E-VERIFY STATUS

(A) I-9 Employment Verification

MPC requires that all contractors providing services to MPC verify the identity and employment eligibility of all employees. MPC prohibits use of individuals who are not authorized for employment in the United States in the performance of any MPC contract.

Do you certify that you will verify the identity and employment eligibility of all persons hired who will perform work under any contract with MPC?

Yes

(B) Miss. Code Ann. § 71-11-3 – E-Verify

Miss. Code Ann. § 71-11-3 requires that all employers working in the state of Mississippi register with, and utilize, the federal E-Verify program. Please certify compliance by completing below:

E-Verify Number: _____ Date Registered: _____

I certify that the information provided in Sections (A) and (B) above is accurate.

Company Name

Signature

Name Printed

Title

Date

Contractor Compliance Background Certification Form

Southern Company is committed to conducting its business in accordance with high ethical standards and in compliance with all laws and regulations. We also expect our contractors to conduct themselves with honesty, integrity and fairness and with a commitment to legal compliance. Our compliance program requires that this Contractor Compliance Background Certification Form be completed and signed by an authorized representative of your company. For purposes of this form, "Southern Company" refers to Southern Company and any or all of its subsidiaries. The term "affiliated entity" refers only to those affiliated entities that may be a possible supplier of services or goods with Southern Company. Thank you for your cooperation and support.

1. At any time during the past five years has your company or an affiliated entity been the subject of an enforcement action or investigation (criminal, civil or administrative) that has resulted, or could result in a fine or penalty in excess of \$25,000 by a government agency focusing on the company's compliance with laws or regulations including, but not limited to, employment, environmental, safety and health, antitrust, fraud and/or securities?

Yes No. *If yes, please describe on a separate page and attach.*

2. At any time during the past five years has your company or an affiliated entity or any of their principals, been debarred, suspended, or proposed for debarment from doing business with the Federal Government, or otherwise been listed on the General Services Administration's Excluded Parties List System (EPLS) which is now maintained in the System for Award Management (SAM.gov)?

Yes No. If yes, please describe on a separate page and attach.

3. At any time during the past five years has your company or any affiliated entity, or their officers, principals or key employees been charged, indicted, arrested, convicted or entered a plea for the alleged commission of a crime, related to your company's business, other than a traffic infraction?

Yes No. If yes, please describe on a separate page and attach.

4. Do any of your products or materials contain "Conflict Minerals" which originated from the Democratic Republic of Congo and neighboring countries ("Covered Countries")?

Yes No. *If yes, please describe on a separate page and attach.*

5. At any time in the past five years has your Company or an affiliated entity or any of their principals been the subject of an enforcement action or investigation (criminal, civil or administrative) involving a failure to comply with all applicable immigration laws and regulations? Are you required by law to confirm the legal status of your employees using the U.S. Citizenship and Immigration Services' (USCIS) E-Verify program and have failed to participate?

Yes No. If yes, please describe on a separate page and attach.

6. Does your company use any equipment, system, or service that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system, as such terms are defined at 48 C.F.R. § 4.2101? For purposes of this question, "covered telecommunications equipment" includes (1) Telecommunications equipment produced by Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities); (2) video surveillance and telecommunications equipment produced by Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities); (3) telecommunications or video surveillance services provided by such entities or using such equipment.; and (4) telecommunications or video surveillance equipment or services provided by an entity that the Secretary of Defense reasonably believes to be owned, controlled or connected to the government of a covered foreign country.

Yes No. *If yes, please describe on a separate page and attach.*

7. Does your company acquire, import, transfer, or install Bulk Power System Regulated Equipment, as such term is defined in the United States Department of Energy, Prohibition Order Securing Critical Defense Facilities effective January 16, 2021, that has been manufactured or supplied by persons owned by, controlled by, or subject to the jurisdiction or direction of the People's Republic of China? For purposes of this question, "Regulated Equipment" includes (1) power transformers with low-side voltage rating of 69 thousand volts (kV) or higher and associated control and protection systems like load tap changer, cooling system, and Sudden Pressure relay; (2) generator step up (GSU) transformers with high-side voltage rating of 69 kV or higher and associated control and protection systems like load tap changer, cooling system, and Sudden Pressure relay; (4) reactive power equipment (Reactors and Capacitors) 69 kV or higher; and (5) associated software and firmware installed in any equipment or used in the operation of items listed in 1 through 4.

Yes No. *If yes, please describe on a separate page and attach.*

8. If your company has a formal legal or ethics compliance program to assure that your employees adhere to applicable legal and regulatory requirements in the performance of their work, please describe on a separate page and attach.

If there is an occurrence of any of the types of circumstances described in items 1 through 5 subsequent to the date below, Contractor agrees to notify Southern Company's Compliance Office (*complianceprogram@southernco.com*) within ten (10) days of the occurrence. Contractor hereby certifies and acknowledges that the above responses are true, accurate, and complete and are material representations of fact upon which Southern Company will rely in consideration of Contractor as a possible supplier of services or goods. Southern Company may at any time before or after the award of a contract obtain investigative or credit reports that contain information about Contractor. These reports may contain: information found in public records, Contractor's commercial credit history, information about Contractor consents to Southern Company (or a designated third party representative) obtaining such reports and hereby waives any claims against Southern Company (or its third party representative) if Southern Company refuses or ceases to do business with Contractor based on any information contained in these reports.

Contractor's Name		Contractor's Authorized Representative (Print Name)
P. O. Box or Street Address		Title
City, State and Zip Code		Signature
Date	Telephone No.	Contractor's Federal Tax ID #



Contractor Statistical Data

Contractor's Name:	
Address:	
City, State & Zip Code:	
Contact Name & Phone#:	

1. List your firm's Interstate Experience Modification Rate (EMR) for the three most recent years and attach written verification from your Insurance Company.

<u>Year:</u>	EMR:

- Please provide your Company's OSHA citation history for citations for the past five (5) years. Include the following information for each citation:
 - a) Location
 - b) Date
 - c) Type Inspection
 - d) Standard Cited
 - e) Violation Type
 - f) Current Status

Print Name:	Title:	
Signature:	Date:	
Federal Tax ID Number:		

Sales and Use Tax:

As applicable, for any work performed for any Southern Company affiliate, tax treatment for specific transactions, contracts or purchase orders will be discussed with the Contractor as necessary during the pre-bid or contracting stage and prior to pricing for the services by Contractor to determine taxability applicable to the specific situation.

1. In Alabama:

In Alabama, Alabama Power Company (APC) intends to makes all major material purchases. All consumable items purchased by a Contractor are taxable to the contractor and the full cost of these materials will be included in the contract. Any component system materials that APC requests the contractor to purchase should be purchased tax exempt and the appropriate tax will be accrued and paid by APC.

2. In Georgia:

Georgia tax law treats a contractor as the end user of tangible personal property when that property is used to construct, repair or improve real property. The contractor is therefore responsible for the payment of tax on all materials that become part of the completed contract, even machinery and equipment used in manufacturing or pollution control activities. Any vendor performing real property construction is supposed to be registered as a contractor with the Georgia Department of Revenue. To assist you in the determination of sales and use tax responsibility we have prepared the following:

Answer the following for work performed for Georgia Power Company (GPC):

Are you registered for sales tax purposes in the State of Georgia?

Yes 🗌 No 🗌

Contractor Registration Number **214**-_____

Dealer Registration Number -

NOTE: If <u>yes</u>, and the first three digits of the registration number are **214**, you are responsible for paying sales tax on all equipment, materials and supplies used in performing services under any contract with GPC. If you answered <u>no</u>, but the services you perform involve construction, improvement, modification or repair of real property, you are considered to be a contractor under Georgia law and are responsible to pay sales tax on all equipment, materials and supplies you use in performing services under any contract with Georgia Power Company ("GPC").

If <u>not</u> registered as a contractor, do you pay sales or use tax when purchasing equipment, materials and supplies to be used in fulfilling contracts?

Yes 🗌 No 🗌

3. In Mississippi:

For work performed for Mississippi Power Company (MPC), the following will apply:

MPC will discuss tax issues in a pre-bid meeting and then again upon award. Per the information below from the Mississippi Department of Revenue, the contractor is required to apply for a Material Purchase Certificate for each project that includes the construction, improvement or repair of real property. Contracts for the maintenance of machinery and equipment <u>do not qualify</u> as real property contracts and tax must be accrued and paid by MPC under its' direct pay authority.

The following provides a brief summary of Sales and Use Tax information for construction contractors in Mississippi. The Sales Tax Law levies a 3 1/2% contractor's tax on all non-residential construction activities when the total contract price or compensation received exceeds \$10,000.00.

Prior to beginning work, the prime contractor(s) is required to apply for a <u>Material Purchase Certificate</u> (MPC) for the contract. For non-residential contracts exceeding \$75,000.00, the contractor's tax and any use tax due must be paid before work begins. An exception to paying the taxes due requires that a surety bond is filed with the DOR to guarantee payment of the taxes. All contractors without a physical location in Mississippi are required to prepay the taxes due or bond all contracts over \$10,000. Contractors with a physical location in Mississippi are required to bond or prepay the taxes due on all contracts over \$75,000.

The 3 1/2% contractor's tax is imposed against the prime contractor and is due on all non-residential, commercial contracts regardless of whether or not the owner is a governmental, exempt, or non-profit entity. As example construction contracts for the U.S. Government, the State of Mississippi, a non-profit hospital, or a church are subject to the tax.

"HIRE MISSISSIPPI" – RESIDENT CONTRACTOR UTILIZATION RULE

SUPPLIER SELF-CERTIFICATION FORM

Mississippi Power Company is a public utility as that term is defined in Miss. Code Ann. §77-3-3(d)(i) and therefore is subject to the exclusive original jurisdiction of the Mississippi Public Service Commission. In August 2017, the Public Service Commission finalized and adopted its "Hire Mississippi" rule as part of an effort to foster, encourage, enable and facilitate economic development in the State of Mississippi, specifically, the hiring of Mississippi resident contractors to perform certain utility contracts. In order to meet its tracking and reporting requirements under the new rule, Mississippi Power must obtain written representation from its contractors, subcontractors and suppliers regarding certain corporate and employee information. Please complete the following sections, which Mississippi Power must maintain as part of its records.

- 1. Please provide the name of your business:
- 2. Are you or your business domiciled and/or have your principal place of business in the State of Mississippi, or do you otherwise certify that you are a "Resident Contractor" as defined in the "Hire Mississippi" rule?

_____ YES _____ NO

3. Pursuant to § 108 of the *"Hire Mississippi" Rule*, please indicate what percentage of your employees are Mississippi residents:

The undersigned individual affirms that he/she is the authorized representative of the above named business; is authorized to execute this certification on its behalf; and the above information is accurate, true and complete. In addition, the undersigned understands that the information provided in this certification is subject to verification.

Name

Title

Date

Signature



Electronic Payment Request Form

(Print the suppliers name that should be used for payment) does hereby authorize Southern Company and/or its affiliates to initiate electronic funds transfer credits owed, authorizes the financial institution below to credit such entities directly to the account below, and does hereby agree to be bound by the National Automated Clearing House (NACHA) Operating Rules relating to corporate payment entries.

Supplier's Remit To Address:

(As it appears on your invoice)

Bank Information

If available, please provide a voided copy of a check along with this application to verify your Bank Account and ABA Numbers for use by Southern Company and/or its affiliates.

Please check appropriate box and complete banking information below:

- □ This will be my first payment from Southern Company and/or its affiliates.
- □ I'm currently receiving check payments for Southern Company to the below referenced mailing address.
- □ I'm currently receiving direct deposit payments for Southern Company and need to change my banking information.

Current Bank Account Information on File	New Bank Account Information
If changing direct deposit account information from	If not currently receiving direct deposit payments,
one account to another, complete the Current Bank	complete the New Bank Account Information
Account and New Bank Account Information boxes.	portion on this form.
Account Holder's Name:	Account Holder's Name:
Bank Name:	Bank Name:
Bank Routing No.:	Bank Routing No.:
Bank Account No.:	Bank Account No.:
Bank Address:	Bank Address:

This authorization shall remain in full force and effect until Southern Company and/or its affiliates has received an updated Electronic Payment Request Form or revocation from the supplier at G2SOCOACHREMIT@SOUTHERNCO.com or via fax at 205-257-0179. At least three (3) days' notice is required to change or revoke this authorization.

Account Holders Approval and Contact Information

Account Holder's Signature:	Date:
must have authority to update account remittance information	
Print Name:	Title:
	(if applicable)
Remittance Email Address:	Phone No.:

Please return the completed form to: ATTN: Supplier Information & Process Solutions Fax: (205) 257-0179 or Email: G2SOCOACHREMIT@SOUTHERNCO.com Inquiries can be directed to the SIPS Helpdesk @ (205) 257-2570